



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Shusaku GOTOU et al. Group Art Unit: Not Yet Assigned

Appln. No. : 10/599,966 (U.S. National Stage of  
PCT/JP2005/007412) Examiner: Not Yet Assigned

I.A. Filed : April 18, 2005 Confirmation No. : 7442

For : SEMICONDUCTOR CHIP FOR DRIVING LIGHT EMITTING  
ELEMENT, LIGHT EMITTING DEVICE, AND LIGHTING DEVICE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98,

Applicants hereby bring to the attention of the Examiner the following information:

The following documents were cited in an International Search Report with respect to International Patent Application No. PCT/JP2005/007412, of which the present application is the U.S. National Stage Application. A copy of the International Search Report has been filed concurrently with the present application and should thus have been brought to the attention of the Examiner.

- (1) Japanese Laid-Open Patent Publication No. 2002-9343 A, together with an English Language Abstract of the same;

- (2) Japanese Laid-Open Patent Publication No. HEI 6-237016 A, together with an English Language Abstract of the same, and patent family member U.S. patent No. 5,434,939;
- (3) Japanese Laid-Open Patent Publication No. HEI 11-354829 A, together with an English Language Abstract of the same;
- (4) Japanese Laid-Open Utility Model Publication No. HEI 5-93058 U, together with an English Language Abstract of the same;
- (5) Japanese Laid-Open Patent Publication No. 2003-307771 A, together with an English Language Abstract of the same;
- (6) Japanese Laid-Open Patent Publication No. HEI 3-251467 A, together with an English Language Abstract of the same;
- (7) Japanese Laid-Open Patent Publication No. HEI 6-45654 A, together with an English Language Abstract of the same; and
- (8) Japanese Laid-Open Patent Publication No. 2003-8075 A, together with an English Language Abstract of the same, and patent family member U.S. patent Application Publication No. US 2002/0149314 A1.

Applicants note that Japanese document (8) is cited beginning at page 8 of the specification of the above-captioned application.

The relevance of the documents cited in the International Search Report, as ascertained with respect to the International claims by the International Examiner, is set forth in the International Search Report.

Applicants further submit the following document:

(9) U.S. Patent Application No. 10/599,998 to GOTOU et al., which was filed on October 17, 2006.

Further to 37 C.F.R. §1.98 (a)(2)(ii) and the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(iii) if the U.S. patent application was filed after June 30, 2003, copies of the U.S. patent publications and patent application are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Also, when the above-noted pending application is published, the Examiner is respectfully requested to list its publication number on a PTO 1449 Form (or PTO 892 Form) to confirm consideration thereof.

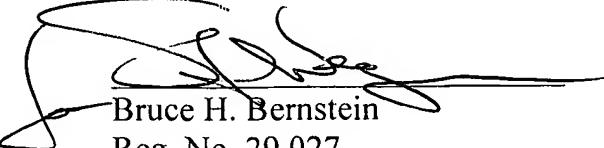
Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of above-noted foreign documents, including the noted English language Abstracts, are attached hereto, and all of the documents are listed on an attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Shusaku GOTOU et al.

January 3, 2007  
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{P30953.00112136.DOC}



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**\*EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.